

COPY

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PLATING APPARATUS AND METHOD

the specification of which:

☒ [X] is attached hereto.

☐ was filed on , and identified as Attorney Docket No. ACMR-001/01US.

☐ was filed on , as Application Serial No. .

and

☐ the amendment(s) of which were filed on .

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s) (Country) (Number) (Day/Month/Year Filed)</u>	<u>Priority Claimed (Yes/No)</u>
, ,	Yes
, ,	Yes

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

<u>60/074,466</u> (Application Number)	<u>February 12, 1998</u> (Filing Date)
<u>60/094,215</u> (Application Number)	<u>July 27, 1998</u> (Filing Date)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Appl. Ser. No.</u>	<u>Filing Date</u>	<u>Status (Pat'd./Pend./Aband.)</u>
		Pat'd.
		Pat'd.

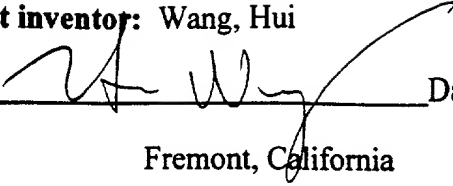
I direct that correspondence concerning this application be directed to

COOLEY GODWARD LLP  
Attention: Patent Group  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, California 94306-2155  
Telephone (650) 843-5000.  
Facsimile (650) 857-0663

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or First inventor: Wang, Hui

Inventor's signature



Date

Jan 14, 1999

Residence:

Fremont, California

Citizen of:

China

Post Office Address:

38855 Litchfield Court  
Fremont, California 94536

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Attorney Docket No: ACMR-001/03US

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on \_\_\_\_\_, 2001.

By: \_\_\_\_\_

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Hui WANG

Serial No.: Unassigned

Examiner: Unassigned

Filed: Herewith

Art Unit: Unassigned

For: PLATING APPARATUS AND METHOD

Commissioner for Patents  
Washington, D.C. 20231

REVOCATION AND NEW POWER TO PROSECUTE BY ASSIGNEE  
AND STATEMENT UNDER 37 C.F.R. §3.73(b)

As the assignee of record of the entire right, title, and interest in the above-identified application, all powers are hereby revoked and the registered practitioners of Cooley Godward LLP provided below are hereby granted Power to prosecute this application and any applications claiming priority to this application, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

William S. Galliani	33,885	David J. O'Neill	42,953
James P. Brogan	35,833	Jeffrey A. Pade	42,970
Jay P. Chawla	44,073	Frank V. Pietrantonio	32,289
Nathan K. Cummings	46,093	Marya A. Postner	42,085
Shelley P. Eberle	31,411	Michelle S. Rhyn	41,268
Thomas L. Ewing	34,328	Bryan D. Richardson	43,043
Karen E. Flick	44,111	Howard R. Richman	41,451
Alexandra J. Baran	39,101	Traci H. Ropp	44,307
William G. Hughes, Jr.	46,112	Randy V. Sabett	43,074

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Christopher R. Hutter	41,087	Gurjeev K. Sachdeva	37,434
Madison C. Jellins	35,555	Allan M. Soqbert	36,284
Duane S. Kobayashi	41,122	Wayne O. Stacy	45,125
Brian M. Koide	41,123	C. Scott Talbot	34,262
Marcella Lillis	36,583	Rick A. Toering	43,195
Thomas W. Lynch	42,820	Noel B. Whitley	42,225
Erik B. Milch	42,887	Michael A. Wrenn	42,237
Tom M. Moran	26,314	Alan A. Wright	40,428
Richard L. Neeley	30,092	Kevin J. Zimmer	36,977

The assignee certifies that to the best of its knowledge and belief it is the owner of the entire right, title, and interest in and to the above-identified application as evidenced by:

[ ] An assignment document, a copy of which is enclosed herewith;

[X] An assignment previously recorded in the U.S. Patent and Trademark Office at Reel 9724, Frame 0086.

Please direct all telephone calls and correspondence to:

COOLEY GODWARD LLP

Attn: Patent Group

Five Palo Alto Square

3000 El Camino Real

Palo Alto, CA 94306-2155

Tel: (650) 843-5000

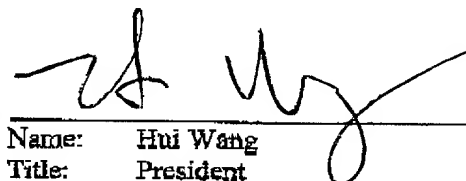
Facsimile: (650) 857-0663

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date:

4/3/01

Signature:



Name: Hui Wang

Title: President

Company: ACM Research, Inc.

COPY

Applicant or Patentee: Hui Wang  
Serial No.: Not yet assigned Patent No.:  
Filed: Herewith Issued:  
For: PLATING APPARATUS AND METHOD

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
37 CFR SECTIONS 1.9(f) & 1.27(c) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: ACM Research, Inc.  
Address of Small Business Concern: 43236 Christy Street, Fremont, California  
94538

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under 35, United States Code 41(a) and (b), in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention identified above and described in

- ☒ the specification filed herewith  
☐ the application identified above  
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name:

Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements are made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

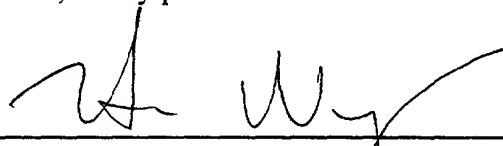
Signature

Date

Name of Person Signing

Title of person other than owner

Address of person signing

  
\_\_\_\_\_  
Jany. 14, 1999  
\_\_\_\_\_

Hui Wang

President

43236 Christy Street  
Fremont, California 94538